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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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Complete if Known

Application Number	09/691,392
Filing Date	17 October 2000
First Named Inventor	Katz, et al.
Art Unit	3627
Examiner Name	McClellan, James S.
Attorney Docket Number	PAT-009C

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (<i>if known</i>)			
Ja	1	US- 6317718 B1	11-03-2001	Fane, Andrew E.	
Ja	2	US- 6298331 B1	10-02-2001	Walker, Jay S., et al.	
Ja	3	US- 6330548 B1	12-11-2001	Walker, Jay S., et al.	
	4	US- 6321221 B1	11-20-2001	Bieganski, Paul	
	5	US- 6317723 B1	11-13-2001	Walker, Jay S., et al.	
	6	US- 6397193 B1	05-28-2002	Walker, Jay S., et al.	
	7	US- 6369840 B1	04-09-2002	Barnett, Theodore H., et al.	
	8	US- 6434231 B2	08-13-2002	Neyman, Igor, et al.	
	9	US- 6405174 B1	06-11-2002	Walker, Jay S., et al.	
	10	US- 6336104 B1	01-01-2002	Walker, Jay S., et al.	
	11	US- 6334113 B1	12-25-2001	Walker, Jay S., et al.	
	12	US- 6334112 B1	12-25-2001	Walker, Jay S., et al.	
	13	US- 5790935	08-04-1998	Payton, David W.	
	14	US- 5749081	05-05-1998	Whiteis, David E.	
	15	US- 5774670	06-30-1198	Montulli, Lou	
	16	US- 5748907	05-05-1998	Crane, Harold E.	
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		Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)				
Ja	75	WO 99/66438	12-23-1999	Walker, Jay S., et al.		
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Ja	78	WO 99/11006	03-04-1999	Van Luchene, Andrew		
Ja	79	WO 99/11007	03-04-1999	Walker, Jay S., et al.		
Ja	80	WO 99/19809	04-22-1999	Walker, Jay S., et al.		

Examiner Signature	James S. McClellan	Date Considered	10/14/04
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SJ	20	US 2002/0091632 A1	07-11-2002	Turock, David L., et al.	
SJ	21	US 5231571	07-27-1993	D'Agostino, Richard J.	
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SJ	24	US 6223163 B1	04-24-2001	Van Luchene, Andrew S.	
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SJ	81	EP 0751471 A1	01-02-1997	Lashkari, Yezdehzard Z., et al.		
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SJ	86	WO 00/00916	01-06-2000	Solomon, Robert S.		

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J	40	US- 6085164	07-04-2000	Smith, Barry C., et al.	
	41	US- 6266649 B1	07-24-2001	Linden, Gregory D., et al.	
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	43	US- 6086477	07-11-2000	Walker, Jay S., et al.	
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	53	US- 6064980	05-16-2000	Joacobi, Jennifer A., et al.	
	54	US- 5855008	12-29-1998	Goldhaber, A. Nathaniel, et al.	
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J	87	WO 98/40832	09-17-1998	Chislenko, Alexander, et al.		
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J	89	WO 00/21005	04-13-2000	Walker, Jay S., et al.		
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J	92	WO 01/37193 A1	05-25-2001	Sarwar, Badrul, M., et al.		

Examiner Signature	James S. McClellan	Date Considered	10/19/04
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		Number-Kind Code ² (<i>if known</i>)			
J	58	US 5794209	08-11-1998	Agrawal, Rakesh, et al.	
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	72	US 5740252	04-14-1998	Minor, Halsey M., et al.	
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	93	WO 02/26800 A2	03-26-2002	Haughwout, James Paul		NR
	95	WO 97/20279	06-05-1997	Nash, Paul, et al.		NR
	96	WO 02/10203 A2	03-07-2002	Gregov, Andrej, et al.		NR
	97	WO 00/38122	06-29-2000	Walker, Jay C., et al.		NR
	98	WO 00/34910	06-15-2000	Simeoudis, Evangelos, et al.		NR
	99	WO 01/24082 A2	04-05-2001	Raucer, John, et al.		NR

Examiner Signature

James S. McClellan

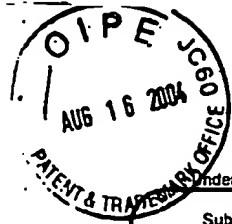
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Signature**

James S. McEllan

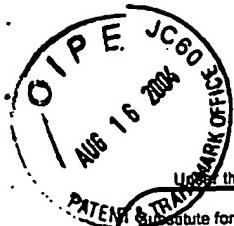
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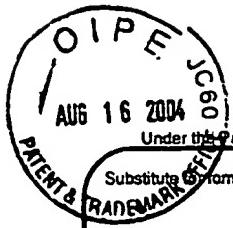
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of

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Application Number	09/691,392
Filing Date	17 October 2000
First Named Inventor	Katz, et al.
Art Unit	3627
Examiner Name	McClellan, James S.

Attorney Docket Number

PAT-009C

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	114	NASH, EDWARD L., Direct Marketing, Strategy, Planning, Execution, Third Edition McGraw-Hill, Inc., 1995, pgs. 164-165, 364-367.	NR
	115	MILLER, BRADLEY N., Experiences with GroupLens: Making Usenet Useful Again, University of Minnesota, Abstract.	NR
	116	AVERY, CHRISTOPHER, Recommender Systems for Evaluating Computer Messages, Communications from the ACM, 03-1997, pgs. 88-89, vol. 40, no. 3.	NR
	117	BALABANOVIC, MARKO, Fab: Content-Based, Collaborative Recommendation, Communications of the ACM, 03-1997, pgs. 66-72, vol. 40, no. 3.	NR
	118	BRIER, STEVEN E., Smart Devices Peep Into Your Grocery Cart, New York Times, 07-16-1998, section G, pg. 3, column 3.	NR
	119	TERVEEN, LOREN, Phoaks: A System for Sharing Recommendations, Communications of the ACM, 03-1997, pgs. 59-62, vol. 40, no. 3.	NR
	120	SHARDANAND, UPENDRA, Social Information Filtering: Algorithms for Automating "Word of Mouth", MIT Media-Lab, Abstract.	NR
	121	TEHRANI, NADJI, Hardware, Software...Humanware, Telemarketing & Call Center Solutions, 09-1997, pg. 3, vol. 16, no. 3.	NR
	122	RUCKER, JAMES, SiteSeer: Personalized Navigation for the Web, Communications of the ACM, 03-1997, pgs. 73-76, vol. 40, no. 3.	NR
	123	MALTZ, DAVID, Pointing the Way: Active Collaborative Filtering, CHI '95 Proceedings, 1995, Abstract.	NR

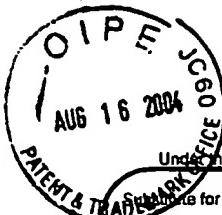
Examiner Signature	James S. McClellan	Date Considered	10/19/04
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<i>J</i>	124	MCMAINS, ANDREW, Weiss, Whitten, Stagliano's, ADWEEK Eastern Edition, pg. 82, vol. 39, no. 24. ; June 15, 1998	
	125	iCat, iCat Electronic Commerce Suite Takes "Best of Show" Award at WebINNOVATION 97, PR Newswire, 06-24-1997.	→ NR
	126	iCat, iCat's Commerce Suite Makes Setting up Shop on the 'net Even Easier Than High Street, M2 Presswire, 02-19-1997.	→ NR
	127	Internet World, IBM to Expand E-Comm Features, Newsbytes News Network, 12-16-1996.	→ NR
	128	KAUTZ, HENRY, Referral Web: Combining Social Networks and Collaborative Filtering, Communications of the ACM, 03-1997, pgs. 63-65, vol. 40, no. 3.	→ NR
	129	KONSTAN, JOSEPH A., GroupLens: Applying Collaborative Filtering to Usenet News, Communications of the ACM, 03-1997, pgs. 77-78, vol. 40, no. 3.	→ NR
	130	GREEN, HEATHER, The Information Gold Mine, Business Week e.biz.	→ NR
	131	BARAN, PAUL, Some Changes in Information Technology Affecting Marketing in the Year 2000, Changing Marketing Systems, 1987 Winter Conference, Dec. 27-29, 1987, pgs. 76-77, no. 26.	→ NR
	132	THEARLING, KURT, From Data Mining To Database Marketing, DIG White Paper, 10-01-1995.	→ NR
<i>J</i>	133	CDNOW, CDNow Rated Top Music Site By eMarketer, the Authority On Business Online, PR Newswire, 09-03-1998.	

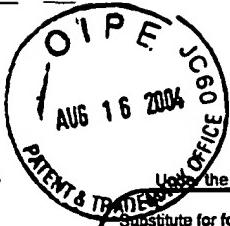
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	134	FALOUTSOS, CHRISTOS, A Survey Of Information Retrieval And Filtering Methods, University of Maryland, Abstract, pgs. 1-22.	
	135	DRAGAN, RICHARD, Advice From The Web, PC Magazine, 09-09-1997, pg. 133(7), vol. 16, no. 15.	
	136	DELGADO, JOAQUIN, Intelligent Collaborative Information Retrieval, Nagoya Institute of Technology, Abstract.	
	137	DELGADO, JOAQUIN, Content-Based Collaborative Information Filtering: Actively Learning To Classify And Recommend Documents, Nagoya Institute of Technology, Abstract.	
<i>JM</i>	138	HILL, KIMBERLY, Autonomy Digs into Contact-Center Market, CRMDaily.com, 05-20-2003.	
<i>JM</i>	139	HELMAN, CHRISTOPHER, Now Hear This, Forbes, 09-15-2003, pgs. 122-124, Vol. 172, No. 5.	

Examiner Signature	<i>James S. McClellan</i>	Date Considered	10/19/04
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